

GAI 3738

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/744,493	
	<b>Filing Date</b>	June 19, 2001	
	<b>First Named Inventor</b>	Bryan	
	<b>Group Art Unit</b>	3738	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	46739/252170

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Form PTO 1449 (4 sheets)  92 publications  Return Receipt Postcard
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	Kristin D. Mallatt, Reg. No. 46,895 Kilpatrick Stockton LLP
<b>Signature</b>	<i>Kristin Mallatt</i>
<b>Date</b>	10/3/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>Oct. 3, 2001</u>	
<b>Typed or printed name</b>	<i>Emily Guida</i>
<b>Signature</b>	<i>Emily Guida</i>
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5  
Prior Art  
J. Bryce  
10/10/01

Applicants: Bryan et al

Serial No.: 09/744,493

Examiner:

Filed: June 19, 2001

Group Art Unit: 3738

For: THREADED CYLINDRICAL MULTIDISCOID SINGLE OR MULTIPLE  
ARRAY DISC PROSTHESIS

Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket No. 46739/252170  
Date: October 3, 2001

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), enclosed is a copy of the reference listed on the attached modified Form PTO-1449.


The undersigned does not concede that any of the identified materials constitute prior art within the meaning of the United States patent laws.

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CERTIFICATE OF MAILING (37 CFR 1.8a)

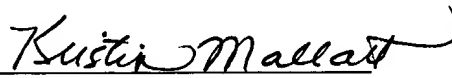
I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 3 day of October, 2001 with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

  
Emily Guida

U.S.S.N.: 09/744,493  
Filed: June 19, 2001  
Information Disclosure Statement

This Information Disclosure Statement is being filed before the mailing of the first Office Action on the merits (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be required. The Commissioner is hereby authorized to charge the applicable fees, if any, to Deposit Account No. 11-0855.

Respectfully submitted,

  
Kristin D. Mallatt  
Kristin D. Mallatt  
Reg. No. 46,895

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The "Received" stamp of the Patent Office imprinted hereon will acknowledge receipt of:

Applicants:	Bryan et al	Docket No.:
Application No.	09/744,493	46739/252170
Filing Date	June 19, 2001	

**PAPERS SUBMITTED:**

1. Transmittal Form (PTO/SB/21)
2. Certificate of Mailing
3. Information Disclosure Statement
4. Form PTO 1449 (4 sheet)
5. 92 publications

By: Kristin D. Mallatt, Reg. No. 46,895  
Date: October 3, 2001